



Application for

PRINCIPAL INVESTIGATOR PERMIT TO CONDUCT ARCHAEOLOGICAL SURVEY

on lands owned or controlled by the State, its political subdivisions or by the School and Institutional Trust Lands Administration in accordance with Utah Code [9-8-305\(1\)\(a\)](#).

The information requested herein is stipulated by Utah Code [9-8-305\(2\)\(a\)-\(b\)](#) and Utah Administrative Code [R694-1-4](#) and [5](#). You may attach additional sheets if needed. Completed applications, with attachments, may be emailed to: kcarambelas@utah.gov. Or a printed application may be mailed to: Kristopher R. Carambelas, Public Lands Policy Coordinating Office, 5110 State Office Building,

1. CONTACT INFORMATION

NEW APPLICANT

RENEWAL

- a. Name:
- b. Organization:
- c. Street or P.O. Box:
- d. City, State, Zip:
- e. Email Address:
- f. Primary Phone:

Website:

Secondary Phone:

2. GRADUATE DEGREE IN ANTHROPOLOGY, ARCHAEOLOGY, OR HISTORY, OR IN LIEU OF EXPERIENCE*

Graduate Degree(s) Earned	Conferring Institution	Department	Completion Date

*If you are submitting evidence in lieu of a graduate degree in anthropology, archaeology, or history, carefully read the attached [Guidance](#). Submit your evidence electronically as a PDF or Word document. Or you may mail three (3) hard copies with this application.

3. ONE YEAR OF FULL-TIME PROFESSIONAL EXPERIENCE OR EQUIVALENT SPECIALIZED TRAINING

Summarize your full-time professional experience in anthropology, archaeology, or history or equivalent specialized training in archaeological research, administration, or management. This experience may be continuous or cumulative and include work outside of Utah, but it must add up to at least one year. See the [Guidance](#) if you describe equivalent specialized training.

If needed, click [here](#) to for additional writing space.

4. ONE YEAR OF SUPERVISED FIELD AND ANALYTICAL EXPERIENCE IN UTAH PREHISTORIC OR HISTORICAL ARCHAEOLOGY

Summarize your supervised field and analytical experience in Utah prehistoric or historical archaeology. This experience must add up to at least one year and include basic field, associated laboratory analysis, and reporting work within any portion of the general physiographic and cultural regions found within the State's boundaries. See the [Guidance](#) if you cite projects outside of Utah but within the general physiographic and cultural regions.

If needed, click [here](#) for additional writing space.

5. INCOMPLETE PROJECTS INITIATED UNDER PREVIOUS STATE OF UTAH ARCHAEOLOGICAL PERMITS

List projects initiated under previous permits issued by the State of Utah that are currently incomplete. Please include the State Report Number assigned by the Utah Division of State History and the anticipated completion date.

6. APPLICATION CHECKLIST

	Yes	No
a. A copy of my <i>curriculum vitae</i> or resume is attached?*	<input type="checkbox"/>	<input type="checkbox"/>
b. A copy of my organization's current curation agreement is attached?*	<input type="checkbox"/>	<input type="checkbox"/>
c. I have attached other documents for consideration?	<input type="checkbox"/>	<input type="checkbox"/>
d. I understand that this permit does not allow archaeological excavation or entrance to any lands without permission.*	<input type="checkbox"/>	<input type="checkbox"/>
e. I volunteer to advise PLPCO on applications for a permit to survey or excavate?	<input type="checkbox"/>	<input type="checkbox"/>
f. I have read, and agree to abide by, the Permit Provisions listed in Utah Administrative Code R694-1-10 and other provisions as PLPCO may deem necessary based on this application?*	<input type="checkbox"/>	<input type="checkbox"/>

*Required

I certify that the information contained within this application is true and complete to the best of my knowledge.

Applicant's Signature

Date

PLPCO Representative's Signature

Date

Approved: YES NO See email or letter for explanation if application is not approved.

For law enforcement purposes, PLPCO recommends that you keep a copy of this signed permit with you during fieldwork.

GUIDANCE ON COMPLETING THE APPLICATION

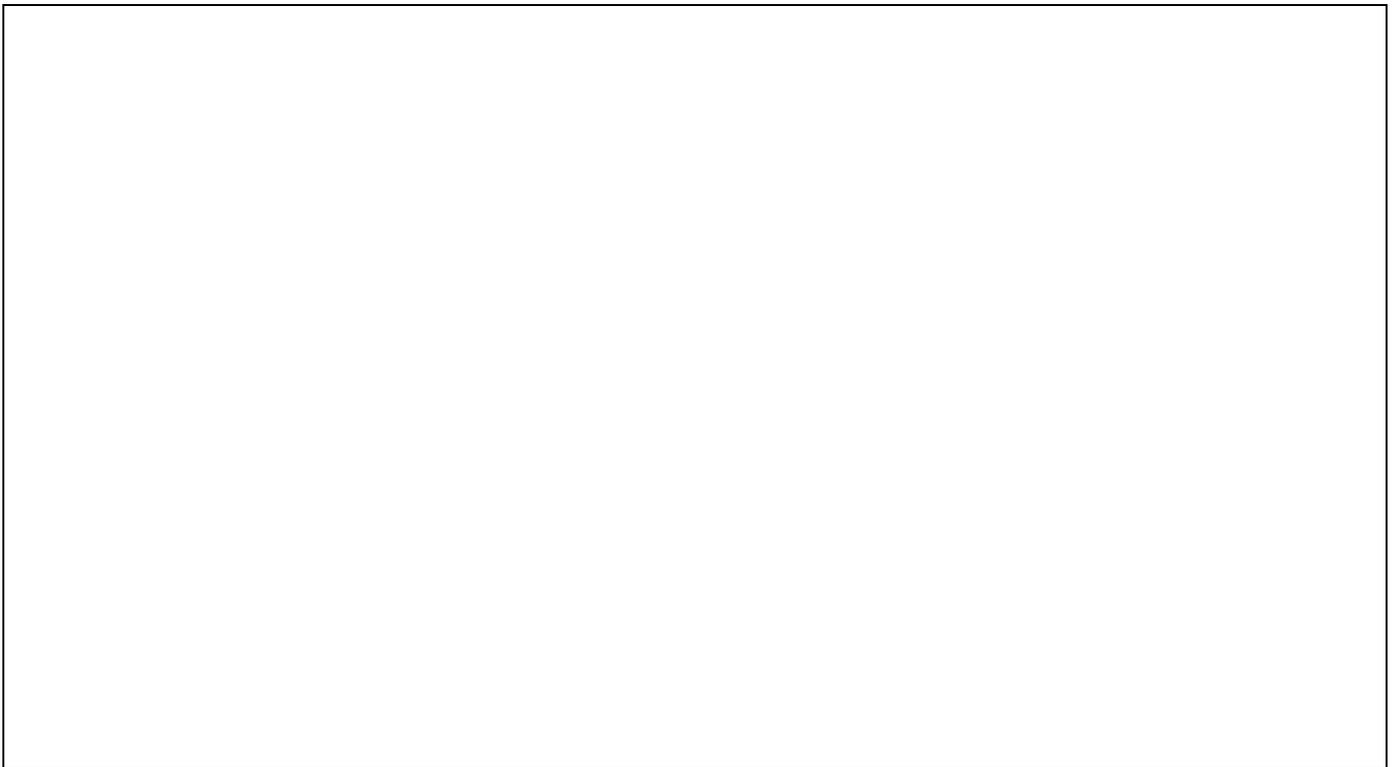
1. Remember to update your contact information by emailing or calling PLPCO if your affiliation changes.
2. In lieu of a graduate degree in anthropology, archaeology or history, Utah Code 9-8-305(2)(b) allows a prospective principal investigator to “submit evidence of training and experience equivalent to a graduate degree.” As directed by State code, PLPCO has established requirements outlining what training and experience may substitute for a graduate degree (Utah Code 9-8-305(5)(d)). These requirements, which are captured in Utah Administrative Code R694-1-4(b), state that a “person requesting a permit may submit evidence demonstrating the ability to design and execute a research project in anthropology, archaeology or history, including the collection and analysis of information, presentation of results in an approved and reviewed format, and the subsequent curation of specimens.” A proper submission of evidence should include a project sample that incorporates *all* of the following components:
 - a. A research design that contains the elements listed in Utah Code 9-8-305(3)(a)(i);
 - b. A description of the specimens physically recovered by the project for the purpose of collecting and analyzing information (e.g., artifacts, floral and faunal remains, datable organic materials, historical documents and photographs, etc.);
 - c. Proof that the results of the research were presented in an approved and reviewed format, such as a monograph, a report accepted by a government agency, or a peer-reviewed journal article. The applicant should be listed as the principal or co-principal investigator and the lead author of this document; and
 - d. A statement about where the specimens mentioned in 2.b. were curated.
3. Per Utah Code 9-8-305(2)(a)(iii), an applicant must have one year of full-time professional experience or equivalent specialized training in archaeological research, administration, or management. One year of full-time experience or training is considered to include 2,080 hours of relevant work. To meet the specialized training requirement, an applicant “may submit evidence of training related to proper methodologies for field procedures, laboratory analysis and reporting within a project involving archaeological resources” (Utah Administrative Code R694-1-4(c)(i)). This specialized training must be “of a sufficient duration and a sufficiently broad scope of subject matter to substitute for a full year of full time professional experience” (Utah Administrative Code R694-1-4(c)(ii)). Specialized training may come from various sources, such as field schools, internships, and volunteer opportunities. When documenting this training, it is recommended the applicant demonstrates that: archaeological fieldwork, laboratory analysis, and reporting were all covered; that participation in this training amounted to at least one year of full-time continuous or cumulative involvement; and that the training was supervised by someone who is qualified at the principal investigator level. Please include the names and contact information of the person(s) who conducted this training.
4. An applicant must “have one year of full-time supervised field and analytical experience in Utah prehistoric or historic archaeology” (Utah Code 9-8-305(2)(a)(iv)). This experience “shall include basic field, associated laboratory analysis and reporting work based within any portion of the general physiographic and cultural regions found within the state” (Utah Administrative Code R694-1-4(d)). General physiographic provinces include the [Great Basin](#), the [Colorado Plateaus](#), the [Middle Rocky Mountains](#), and the [Snake River Plain](#) portion of the Columbia Plateau. Cultural regions include areas or divisions with definable cultural or historical characteristics, but not necessarily fixed boundaries. The Fremont cultural region, for example, extends into the Colorado Plateaus of western Colorado, the Middle Rocky Mountains of southern Wyoming, the Snake River Plain of southern Idaho, and the Great Basin of eastern Nevada. To demonstrate relevant field and analytical experience using projects conducted in other states, the applicant should name the project(s), identify the physiographic province(s) within which it was conducted, describe the applicable cultural region(s), and itemize the time given to fieldwork, analysis, and reporting.

3. CONTINUATION: EXPERIENCE AND TRAINING



Attached additional sheets as needed

4. CONTINUATION: UTAH EXPERIENCE



Attached additional sheets as needed